

MRP
10/11/02

Patent Docket P3430R1C1

#5
SMA (52)
CJ
2/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Baker et al. Serial No.: 10/052,586 Filed: January 15, 2002 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: Not yet assigned Examiner: Not yet assigned
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**TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA
UNDER 42 U.S.C. §§ 2182 and 2457**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

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OCT - 8 2002
LICENSING & REVIEW

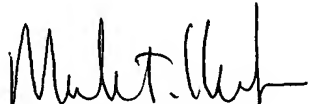
Sir:

Applicants submit herewith a fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent application as shown in Appendix A which is appended to the fully executed property rights statement submitted herewith.

Serial No.: 10/052,586
Filed: January 15, 2002

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,
GENENTECH, INC.

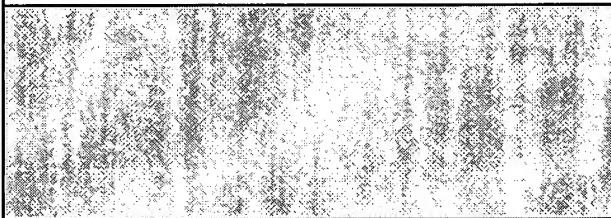
By: 
Mark T. Kresnak, Ph.D.
Reg. No. 42,767
Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of:</p> <p>Baker et al.</p> <p>Serial No.: 10/052,586</p> <p>Filed: January 15, 2002</p> <p>For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: Not yet assigned</p> <p>Examiner: Not yet assigned</p> 
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**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -
INVENTORS EMPLOYED BY AN ORGANIZATION**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

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Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 10/052,586 filed in the United States of America on January 15, 2002 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

Serial No.: 10/052,586
Filed: January 15, 2002

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

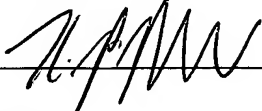
6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

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Inventor's signature:  Date: 9/9/02

Full name of second joint inventor

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Citizenship: US

Inventor's signature: _____ Date: _____

Serial No.: 10/052,586
Filed: January 15, 2002

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

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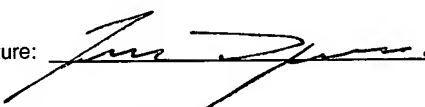
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Inventor's signature: Jian Chen Date: 09/05/02

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Date: 09/04/2002

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Date: _____

Serial No.: 10/052,586
Filed: January 15, 2002

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Date: *Sept. 4, 2002*

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Filed: January 15, 2002

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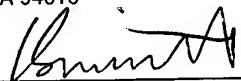
Date: _____

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Date: _____

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Filed: January 15, 2002

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Date: _____

Serial No.: 10/052,586
Filed: January 15, 2002

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APPENDIX A

Application No.	Application Date	File No
10/173689	17Jun2002	P3430R1C10
10/176992	21Jun2002	P3430R1C100
10/176752	21Jun2002	P3430R1C101
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APPENDIX A

Application No.	Application Date	File No
10/180540	25Jun2002	P3430R1C145
10/180560	25Jun2002	P3430R1C146
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APPENDIX A

Application No.	Application Date	File No
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10/187749	01Jul2002	P3430R1C232
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10/187746	02Jul2002	P3430R1C234

APPENDIX A

Application No.	Application Date	File No
10/187741	01Jul2002	P3430R1C235
10/187603	02Jul2002	P3430R1C236
10/187743	02Jul2002	P3430R1C237
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10/187751	02Jul2002	P3430R1C265
10/187748	01Jul2002	P3430R1C266
10/187888	01Jul2002	P3430R1C267
10/187755	01Jul2002	P3430R1C268
10/187599	01Jul2002	P3430R1C269
10/174573	18Jun2002	P3430R1C27
10/187588	01Jul2002	P3430R1C270
10/188770	02Jul2002	P3430R1C271
10/188767	02Jul2002	P3430R1C272
10/188775	02Jul2002	P3430R1C273
10/188769	02Jul2002	P3430R1C274
10/188771	02Jul2002	P3430R1C275
10/188780	02Jul2002	P3430R1C276
10/188766	02Jul2002	P3430R1C277
10/188774	02Jul2002	P3430R1C278
10/188781	02Jul2002	P3430R1C279
10/174588	18Jun2002	P3430R1C28

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10/188773	02Jul2002	P3430R1C280
10/192007	09Jul2002	P3430R1C281
10/192011	09Jul2002	P3430R1C282
10/192016	09Jul2002	P3430R1C283
10/192015	09Jul2002	P3430R1C284
10/192008	09Jul2002	P3430R1C285
10/192006	09Jul2002	P3430R1C286
10/192010	09Jul2002	P3430R1C287
10/192012	09Jul2002	P3430R1C288
10/192014	09Jul2002	P3430R1C289
10/174571	18Jun2002	P3430R1C29
10/192009	09Jul2002	P3430R1C290
10/194486	11Jul2002	P3430R1C291
10/194425	11Jul2002	P3430R1C292
10/194459	11Jul2002	P3430R1C293
10/194395	11Jul2002	P3430R1C294
10/194460	11Jul2002	P3430R1C295
10/194457	11Jul2002	P3430R1C296
10/194485	11Jul2002	P3430R1C297
10/194394	11Jul2002	P3430R1C298
10/194488	11Jul2002	P3430R1C299
10/173695	17Jun2002	P3430R1C3
10/174587	18Jun2002	P3430R1C30
10/194463	11Jul2002	P3430R1C300
10/194361	12Jul2002	P3430R1C301
10/194461	12Jul2002	P3430R1C302
10/194365	12Jul2002	P3430R1C303
10/194456	12Jul2002	P3430R1C304
10/194362	12Jul2002	P3430R1C305
10/194360	12Jul2002	P3430R1C306
10/194458	12Jul2002	P3430R1C307
10/194423	12Jul2002	P3430R1C308
10/194364	12Jul2002	P3430R1C309
10/174579	18Jun2002	P3430R1C31
10/194363	12Jul2002	P3430R1C310
10/194462	12Jul2002	P3430R1C311
10/194487	12Jul2002	P3430R1C312
10/194484	12Jul2002	P3430R1C313
10/194424	12Jul2002	P3430R1C314
10/194359	12Jul2002	P3430R1C315
10/195886	15Jul2002	P3430R1C316
10/195897	15Jul2002	P3430R1C317
10/195894	15Jul2002	P3430R1C318
10/195891	15Jul2002	P3430R1C319
10/174591	18Jun2002	P3430R1C32
10/195892	15Jul2002	P3430R1C320
10/195890	15Jul2002	P3430R1C321
10/195899	15Jul2002	P3430R1C322
10/195883	15Jul2002	P3430R1C323
10/195888	15Jul2002	P3430R1C324

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Application No.	Application Date	File No
10/195893	15Jul2002	P3430R1C325
10/195898	15Jul2002	P3430R1C326
10/195896	15Jul2002	P3430R1C327
10/195900	15Jul2002	P3430R1C328
10/195889	15Jul2002	P3430R1C329
10/174589	18Jun2002	P3430R1C33
10/195887	15Jul2002	P3430R1C330
10/195885	15Jul2002	P3430R1C331
10/195895	15Jul2002	P3430R1C332
10/195901	15Jul2002	P3430R1C333
10/195902	15Jul2002	P3430R1C334
10/195884	15Jul2002	P3430R1C335
10/196762	16Jul2002	P3430R1C336
10/196753	16Jul2002	P3430R1C337
10/196759	16Jul2002	P3430R1C338
10/196754	16Jul2002	P3430R1C339
10/174584	18Jun2002	P3430R1C34
10/196749	16Jul2002	P3430R1C340
10/196758	16Jul2002	P3430R1C341
10/196751	16Jul2002	P3430R1C342
10/196756	16Jul2002	P3430R1C343
10/196745	16Jul2002	P3430R1C344
10/196757	16Jul2002	P3430R1C345
10/196747	16Jul2002	P3430R1C346
10/196748	16Jul2002	P3430R1C347
10/196750	16Jul2002	P3430R1C348
10/196746	16Jul2002	P3430R1C349
10/174575	18Jun2002	P3430R1C35
10/196755	16Jul2002	P3430R1C350
10/196760	16Jul2002	P3430R1C351
10/196761	16Jul2002	P3430R1C352
10/196744	16Jul2002	P3430R1C353
10/196752	16Jul2002	P3430R1C354
10/196743	16Jul2002	P3430R1C355
10/197698	17Jul2002	P3430R1C356
10/197707	17Jul2002	P3430R1C357
10/197703	17Jul2002	P3430R1C358
10/197692	17Jul2002	P3430R1C359
10/174582	18Jun2002	P3430R1C36
10/197695	17Jul2002	P3430R1C360
10/197700	17Jul2002	P3430R1C361
10/197696	17Jul2002	P3430R1C362
10/197711	17Jul2002	P3430R1C363
10/197704	17Jul2002	P3430R1C364
10/197701	17Jul2002	P3430R1C365
10/197706	17Jul2002	P3430R1C366
10/197697	17Jul2002	P3430R1C367
10/197709	17Jul2002	P3430R1C368
10/197708	17Jul2002	P3430R1C369
10/174585	18Jun2002	P3430R1C37

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Application No.	Application Date	File No
10/197705	17Jul2002	P3430R1C370
10/197693	17Jul2002	P3430R1C371
10/197694	17Jul2002	P3430R1C372
10/197691	17Jul2002	P3430R1C373
10/197699	17Jul2002	P3430R1C374
10/197710	17Jul2002	P3430R1C375
10/199667	18Jul2002	P3430R1C376
10/199461	18Jul2002	P3430R1C377
10/198760	18Jul2002	P3430R1C378
10/198766	18Jul2002	P3430R1C379
10/174578	18Jun2002	P3430R1C38
10/200617	18Jul2002	P3430R1C380
10/199460	18Jul2002	P3430R1C381
10/199301	18Jul2002	P3430R1C382
10/198758	18Jul2002	P3430R1C383
10/198759	18Jul2002	P3430R1C384
10/199315	18Jul2002	P3430R1C385
10/199458	18Jul2002	P3430R1C386
10/199672	18Jul2002	P3430R1C387
10/199307	18Jul2002	P3430R1C388
10/199463	18Jul2002	P3430R1C389
10/174569	18Jun2002	P3430R1C39
10/199673	18Jul2002	P3430R1C390
10/198761	18Jul2002	P3430R1C391
10/198763	18Jul2002	P3430R1C392
10/199665	18Jul2002	P3430R1C393
10/199309	18Jul2002	P3430R1C394
10/198764	18Jul2002	P3430R1C395
10/199313	18Jul2002	P3430R1C396
10/198769	18Jul2002	P3430R1C397
10/199666	18Jul2002	P3430R1C398
10/198765	18Jul2002	P3430R1C399
10/173708	17Jun2002	P3430R1C4
10/174572	18Jun2002	P3430R1C40
10/199456	18Jul2002	P3430R1C400
10/199670	19Jul2002	P3430R1C401
10/199304	19Jul2002	P3430R1C402
10/199312	19Jul2002	P3430R1C403
10/199306	19Jul2002	P3430R1C404
10/199464	19Jul2002	P3430R1C405
10/199318	19Jul2002	P3430R1C406
10/199310	19Jul2002	P3430R1C407
10/198768	19Jul2002	P3430R1C408
10/198762	19Jul2002	P3430R1C409
10/174581	18Jun2002	P3430R1C41
10/199317	19Jul2002	P3430R1C410
10/199303	19Jul2002	P3430R1C411
10/198767	19Jul2002	P3430R1C412
10/199311	19Jul2002	P3430R1C413
10/198757	19Jul2002	P3430R1C414

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Application No.	Application Date	File No
10/199457	19Jul2002	P3430R1C415
10/199308	19Jul2002	P3430R1C416
10/198771	19Jul2002	P3430R1C417
10/198770	19Jul2002	P3430R1C418
10/199316	19Jul2002	P3430R1C419
10/174590	18Jun2002	P3430R1C42
10/199305	19Jul2002	P3430R1C420
10/199462	19Jul2002	P3430R1C421
10/199314	19Jul2002	P3430R1C422
10/199302	19Jul2002	P3430R1C423
10/199459	19Jul2002	P3430R1C424
10/199669	19Jul2002	P3430R1C425
10/201322	22Jul2002	P3430R1C426
10/202472	23Jul2002	P3430R1C427
10/202409	23Jul2002	P3430R1C428
10/201328	22Jul2002	P3430R1C429
10/175736	19Jun2002	P3430R1C43
10/201533	22Jul2002	P3430R1C430
10/201323	22Jul2002	P3430R1C431
10/201527	22Jul2002	P3430R1C432
10/201326	22Jul2002	P3430R1C433
10/201771	22Jul2002	P3430R1C434
10/201530	22Jul2002	P3430R1C435
10/201325	22Jul2002	P3430R1C436
10/201770	22Jul2002	P3430R1C437
10/201329	22Jul2002	P3430R1C438
10/201529	22Jul2002	P3430R1C439
10/175747	19Jun2002	P3430R1C44
10/201321	22Jul2002	P3430R1C440
10/201324	22Jul2002	P3430R1C441
10/201855	22Jul2002	P3430R1C442
10/201534	22Jul2002	P3430R1C443
10/201532	22Jul2002	P3430R1C444
10/201327	22Jul2002	P3430R1C445
10/201528	22Jul2002	P3430R1C446
10/202410	23Jul2002	P3430R1C447
10/201769	23Jul2002	P3430R1C448
10/202408	23Jul2002	P3430R1C449
10/175738	19Jun2002	P3430R1C45
10/202413	23Jul2002	P3430R1C450
10/201854	23Jul2002	P3430R1C451
10/202475	23Jul2002	P3430R1C452
10/202473	23Jul2002	P3430R1C453
10/201857	23Jul2002	P3430R1C454
10/202469	23Jul2002	P3430R1C455
10/202412	23Jul2002	P3430R1C456
10/201772	23Jul2002	P3430R1C457
10/202476	23Jul2002	P3430R1C458
10/202470	23Jul2002	P3430R1C459
10/175739	19Jun2002	P3430R1C46

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Application No.	Application Date	File No
10/201535	23Jul2002	P3430R1C460
10/201856	23Jul2002	P3430R1C461
10/202471	23Jul2002	P3430R1C462
10/202411	23Jul2002	P3430R1C463
10/201858	23Jul2002	P3430R1C464
10/201853	23Jul2002	P3430R1C465
10/202474	23Jul2002	P3430R1C466
10/205506	24Jul2002	P3430R1C467
10/202939	24Jul2002	P3430R1C468
10/205502	24Jul2002	P3430R1C469
10/175750	19Jun2002	P3430R1C47
10/202936	24Jul2002	P3430R1C470
10/202942	24Jul2002	P3430R1C471
10/202938	24Jul2002	P3430R1C472
10/202940	24Jul2002	P3430R1C473
10/205509	24Jul2002	P3430R1C474
10/202937	24Jul2002	P3430R1C475
10/205504	24Jul2002	P3430R1C476
10/202934	24Jul2002	P3430R1C477
10/202935	24Jul2002	P3430R1C478
10/205511	24Jul2002	P3430R1C479
10/175749	19Jun2002	P3430R1C48
10/205508	24Jul2002	P3430R1C480
10/205512	24Jul2002	P3430R1C481
10/205507	24Jul2002	P3430R1C482
10/205510	24Jul2002	P3430R1C483
10/202941	24Jul2002	P3430R1C484
10/205505	24Jul2002	P3430R1C485
10/205503	24Jul2002	P3430R1C486
10/205896	25Jul2002	P3430R1C487
10/205905	25Jul2002	P3430R1C488
10/205902	25Jul2002	P3430R1C489
10/175744	19Jun2002	P3430R1C49
10/206928	25Jul2002	P3430R1C490
10/205906	25Jul2002	P3430R1C491
10/206911	25Jul2002	P3430R1C492
10/206914	25Jul2002	P3430R1C493
10/206926	25Jul2002	P3430R1C494
10/205895	25Jul2002	P3430R1C495
10/206913	25Jul2002	P3430R1C496
10/206927	25Jul2002	P3430R1C497
10/205909	25Jul2002	P3430R1C498
10/205891	25Jul2002	P3430R1C499
10/173697	17Jun2002	P3430R1C5
10/175737	19Jun2002	P3430R1C50
10/205904	25Jul2002	P3430R1C500
10/206907	25Jul2002	P3430R1C501
10/205897	25Jul2002	P3430R1C502
10/205903	25Jul2002	P3430R1C503
10/205908	25Jul2002	P3430R1C504

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10/205893	25Jul2002	P3430R1C505
10/205894	25Jul2002	P3430R1C506
10/205900	26Jul2002	P3430R1C507
10/206909	26Jul2002	P3430R1C508
10/205901	26Jul2002	P3430R1C509
10/175735	19Jun2002	P3430R1C51
10/205898	26Jul2002	P3430R1C510
10/205910	26Jul2002	P3430R1C511
10/206924	26Jul2002	P3430R1C512
10/206915	26Jul2002	P3430R1C513
10/206916	26Jul2002	P3430R1C514
10/206921	26Jul2002	P3430R1C515
10/206917	26Jul2002	P3430R1C516
10/205892	26Jul2002	P3430R1C517
10/206919	26Jul2002	P3430R1C518
10/205890	26Jul2002	P3430R1C519
10/175743	19Jun2002	P3430R1C52
10/206923	26Jul2002	P3430R1C520
10/205899	26Jul2002	P3430R1C521
10/206925	26Jul2002	P3430R1C522
10/205907	26Jul2002	P3430R1C523
10/206912	26Jul2002	P3430R1C524
10/206922	26Jul2002	P3430R1C525
10/206918	26Jul2002	P3430R1C526
10/206920	26Jul2002	P3430R1C527
10/206910	26Jul2002	P3430R1C528
10/208023	29Jul2002	P3430R1C529
10/175746	19Jun2002	P3430R1C53
10/207918	29Jul2002	P3430R1C530
10/207920	29Jul2002	P3430R1C531
10/207923	29Jul2002	P3430R1C532
10/207915	29Jul2002	P3430R1C533
10/208021	29Jul2002	P3430R1C534
10/208028	29Jul2002	P3430R1C535
10/208030	29Jul2002	P3430R1C536
10/207916	29Jul2002	P3430R1C537
10/208024	29Jul2002	P3430R1C538
10/207919	29Jul2002	P3430R1C539
10/175753	19Jun2002	P3430R1C54
10/208022	29Jul2002	P3430R1C540
10/207925	29Jul2002	P3430R1C541
10/207922	29Jul2002	P3430R1C542
10/207917	29Jul2002	P3430R1C543
10/208027	29Jul2002	P3430R1C544
10/208026	29Jul2002	P3430R1C545
10/207921	29Jul2002	P3430R1C546
10/207924	29Jul2002	P3430R1C547
10/207914	29Jul2002	P3430R1C548
10/208025	29Jul2002	P3430R1C549
10/175745	19Jun2002	P3430R1C55

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10/208029	29Jul2002	P3430R1C550
10/175741	19Jun2002	P3430R1C56
10/175751	19Jun2002	P3430R1C57
10/175754	19Jun2002	P3430R1C58
10/175742	19Jun2002	P3430R1C59
10/173696	17Jun2002	P3430R1C6
10/175752	19Jun2002	P3430R1C60
10/175740	18Jun2002	P3430R1C61
10/175748	19Jun2002	P3430R1C62
10/176919	20Jun2002	P3430R1C63
10/176484	20Jun2002	P3430R1C64
10/176912	20Jun2002	P3430R1C65
10/176913	20Jun2002	P3430R1C66
10/176753	20Jun2002	P3430R1C67
10/176483	20Jun2002	P3430R1C68
10/176491	20Jun2002	P3430R1C69
10/173706	17Jun2002	P3430R1C7
10/176482	20Jun2002	P3430R1C70
10/176479	20Jun2002	P3430R1C71
10/176493	20Jun2002	P3430R1C72
10/176981	20Jun2002	P3430R1C73
10/176487	20Jun2002	P3430R1C74
10/176911	20Jun2002	P3430R1C75
10/176749	20Jun2002	P3430R1C76
10/176917	20Jun2002	P3430R1C77
10/176485	20Jun2002	P3430R1C78
10/176490	20Jun2002	P3430R1C79
10/173699	17Jun2002	P3430R1C8
10/176759	20Jun2002	P3430R1C80
10/176746	20Jun2002	P3430R1C81
10/176918	20Jun2002	P3430R1C82
10/176914	20Jun2002	P3430R1C83
10/176989	20Jun2002	P3430R1C84
10/176754	20Jun2002	P3430R1C85
10/176757	20Jun2002	P3430R1C86
10/176988	20Jun2002	P3430R1C87
10/176921	20Jun2002	P3430R1C88
10/176993	20Jun2002	P3430R1C89
10/173690	17Jun2002	P3430R1C9
10/176990	20Jun2002	P3430R1C90
10/176920	20Jun2002	P3430R1C91
10/176747	20Jun2002	P3430R1C92
10/176987	21Jun2002	P3430R1C93
10/176925	21Jun2002	P3430R1C94
10/176916	21Jun2002	P3430R1C95
10/176480	21Jun2002	P3430R1C96
10/176983	21Jun2002	P3430R1C97
10/176481	21Jun2002	P3430R1C98
10/176985	21Jun2002	P3430R1C99